DT07 Rec'd PCT/PTO 1 7 DEC 2004

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 0321.67638

see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PC1/US03/020352	27 June 2003	26 Julie 2002							
TITLE OF INVENTION PERIPHERAL COUPLED TE	RAVELING WAVE ELECTR	RO-ABSORPTION MODULATOR							
APPLICANT(S) FOR DO/EO/US The Regents of the University of California									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. This is a FIRST submission of items co	oncerning a submission under 35 U.S.C. 371								
2. This is a SECOND or SUBSEQUENT	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. X The US has been elected (Article 31).									
5. X A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))								
a. is attached hereto (required	d only if not communicated by the Internation	al Bureau).							
b. X has been communicated b	y the International Bureau.	İ							
c. is not required, as the appl	ication was filed in the United States Receivi	ng Office (RO/US).							
6. An English language translation of the	ne International Application as filed (35 U.S.C	2. 371(c)(2)).							
a. is attached hereto.									
<u> </u>	itted under 35 U.S.C. 154(d)(4).								
7. X Amendments to the claims of the Int	ernational Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (requ	ired only if not communicated by the Internat	tional Bureau).							
b. have been communicated	by the International Bureau.								
c. have not been made; how	vever, the time limit for making such amendm	nents has NOT expired.							
d. X have not been made and	will not be made.								
8. An English language translation of t	he amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the invento	r(s) (35 U.S.C. 371(c)(4)). (will follow	v)							
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary E	xamination Report under PCT							
Items 11 to 20 below concern document(s) or information included:								
11. X An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.								
12. An assignment document for record	ng. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.									
14. An Application Data Sheet under 37	CFR 1.76.								
15. A substitute specification.		~							
16. A power of attorney and/or change of	of address letter.								
17. A computer-readable form of the sec	quence listing in accordance with PCT Rule 1	13ter.2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published Inte	rnational Application under 35 U.S.C. 154(d)	(4).							
19. A second copy of the English langua	age translation of the international application	n under 35 U.S.C. 154(d)(4).							
20. Other items or information: Inte	ernational Search Report	Obtain or retain a ber the United States Postal Service as Express Ma							

Inis collection or information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a bet USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection i including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the in of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Off Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO T Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

d with il in an OR PATENTS, envelope addressed to: COMMISS P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

December 17, 2004

Express Mail No. EL965134765US

DT05 Rec'd PCT/PT0 1 7 DEC 2004

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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The following fees are submitted: X a Basic national fee. \$300.00 X Description fee. \$300.00 X Description fee. \$300.00 X C Search fee \$300.00 X C Search fee \$300.00 X C Search fee \$300.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding scripting acceptance additional 50 sheets of paper or fraction thereof. \$500.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding scripting acceptance additional 50 sheets of paper or fraction thereof. \$500.00 Additional 50 sheets of paper or fraction thereof (round up to a whole number) \$500.00 Total Sheets \$500.00 \$500.00	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER						
21. The following fees are submitted: (a) 8 Besic national fee. (b) 10 Examination fee. (c) Search fee. (c) Search fee. (c) Search fee. (c) Search fee. (d) 20 Search fee. (e) Search fee	U.S. APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO. PCT/US03/20352			0321.67638						
A special content Security Search fee Security										
Search fee	(X) a) Basic na	ational fee			\$300.00	30				
Search fee	X b) Examin	ation fee			\$200.00	\$ 20	20.00			
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Islang or computer program listing filed in an electronic medium). The fee is \$\$250 for each additional 50 sheets of pager for feation threefor found up to a whole number) Total Sheets	Additional fo	TOTAL OF ABOVE CAL	CULATION	in paper over 100 sheets (exclu-	\$1000.00 ding sequence	100	00.00			
Total Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number) x \$250.00 \$ Surcharge of \$130.00 for furnishing the bath or declaration later than 30 months from the earliest \$ CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ Total claims 18 -20 0 x \$50.00 \$ Independent claims 2 -3 = 0 x \$50.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ TOTAL OF ABOVE CALCULATIONS = \$ 1000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$ SUBTOTAL \$ 500.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). ToTAL NATIONAL FEE \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property \$ TOTAL FEES ENCLOSED \$ SOU.00 \$ Amount to be charged \$ a. A check in the amount of \$ 500.00 to cover the above fees is enclosed. \$ b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees is enclosed. \$ b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees is enclosed. \$ c. A check in the amount of \$ 500.00 to cover the above fees is enclosed. \$ b. Please charge my Deposit Account No. In the amount of \$ to cover the above fees is enclosed. \$ c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 0.7-2.0GS A duplicate copy of this sheet is enclosed. \$ c. The Commissioner is hereby authorized to the arge any additional fees which may be required, or credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. \$ SIGNATURE SIGNATURE S	listing or computer program listing filed in an electronic medium). The fee is \$250 for each									
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